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PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional)																	
<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]</p> <p>on _____</p> <p>Signature _____</p> <p>Typed or printed name _____</p>		Application Number	Filed																
		10/574,170	01/10/2007																
		First Named Inventor																	
		Bruno Bozionek																	
		Art Unit	Examiner																
		2456	Kevin S. Mai																
<p>Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.</p> <p>This request is being filed with a notice of appeal.</p> <p>The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.</p> <p>I am the</p> <table border="0"><tr><td><input type="checkbox"/> applicant/inventor.</td><td>/Ralph G. Fischer/</td></tr><tr><td><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)</td><td>Signature</td></tr><tr><td><input type="checkbox"/> attorney or agent of record. Registration number _____</td><td>Ralph G. Fischer</td></tr><tr><td></td><td>Typed or printed name</td></tr><tr><td><input checked="" type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 <u>55179</u></td><td>412-392-2121</td></tr><tr><td></td><td>Telephone number</td></tr><tr><td></td><td>06-28-2010</td></tr><tr><td></td><td>Date</td></tr></table> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.</p> <p><input type="checkbox"/> *Total of _____ forms are submitted.</p>				<input type="checkbox"/> applicant/inventor.	/Ralph G. Fischer/	<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Signature	<input type="checkbox"/> attorney or agent of record. Registration number _____	Ralph G. Fischer		Typed or printed name	<input checked="" type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 <u>55179</u>	412-392-2121		Telephone number		06-28-2010		Date
<input type="checkbox"/> applicant/inventor.	/Ralph G. Fischer/																		
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This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### **Claims 24-29, 31-34, And 44-47 Are Allowable**

Claim 24 defines a method that includes triggering a bandwidth test. The bandwidth test comprises sending a bandwidth request to each terminal, registering a bandwidth of an associated part connection after each hop and receiving assembled data relating to bandwidth available for each terminal. Claims 25-29, 31-34 and 44-47 depend directly or indirectly from claim 24 and therefore also contain these limitations.

The cited art does not teach or suggest the bandwidth test recited in claim 24. For example, there is no bandwidth testing done in the system disclosed by Albuquerque et al. The Examiner states that Albuquerque et al. teaches a bandwidth test at paragraphs 27 and 28 (Office Action of April 20, 2010 (hereafter "Office Action"), at 5). To the contrary, Albuquerque et al. only teaches a computation of available bandwidth by a bandwidth manager overseeing a particular private network. The bandwidth manager taught by Albuquerque et al. would fail whenever other networks are traversed, such as the internet, because without a test there would be no information about available bandwidth. (*See e.g.* Figures 2 and 4, ¶¶ 21, 24-26).

### **The Cited Art Does Not Teach Or Suggest Bandwidth Tests**

The cited prior art does not teach or suggest any bandwidth test that involves sending a bandwidth request to each terminal, registering a bandwidth of an associated part connection after each hop and receiving assembled data relating to bandwidth available for each terminal as required by claims 24-29, 31-34 and 44-47. The Examiner states that the cited prior art discloses a bandwidth manager that determines the flow on a link between terminals and an access point that calculates if there is enough bandwidth available in a network at paragraphs 28, 29 and 66 of Albuquerque et al. However, no portion of the Albuquerque et al. or any other cited art teaches or suggests sending a bandwidth request to each terminal, registering a bandwidth of an

associated part connection after each hop, nor receiving assembled data relating to bandwidth available for each terminal.

Claim 24 explicitly requires "triggering a bandwidth test, the bandwidth test comprising sending a bandwidth request to each terminal, registering a bandwidth of an associated part connection after each hop and receiving assembled data relating to bandwidth available for each terminal." At page 3 of the Office Action, the examiner cites paragraph 66 of Albuquerque et al. as disclosing this element of claim 24. The word "test" does not appear in paragraph 66. Instead, paragraph 66 says "changes in link speed are reported to the BM." The Examiner relies on this teaching saying "Accordingly, since it is able to identify the link speed it is seen to do perform bandwidth tests." (Office Action, at 3). In reaching this conclusion, the Examiner is improperly using Applicant's disclosure to read into Albuquerque et al. that which Albuquerque et al. do not teach or suggest. In saying "changes in link speed are reported" Albuquerque et al. suggests continuous monitoring, not testing. Furthermore, there is nothing in the Albuquerque et al. reference that tells a reader what is done to cause the reporting of the link speed. It is improper for the Examiner to conclude that Albuquerque et al. teach or suggest a bandwidth test as required by claim 24.

#### **The Cited Art Does Not Teach Or Suggest Bandwidth Requests Sent To Terminals**

The system disclosed by Albuquerque et al. does not disclose any bandwidth request being sent to any terminals. Instead, bandwidth requests are transmitted by terminals to an access point. The access point then utilizes a bandwidth manager to allocate bandwidth for that terminal. To the extent the Examiner is suggesting that the system disclosed by Albuquerque et al. be modified to read on the requirement that bandwidth requests be sent to terminals, the

proposed modification of the Albuquerque et al. reference is improper since it would change the principle of operation of the prior art invention being modified. MPEP § 2143.01.

**The Cited Art Does Not Teach Or Suggest Any Bandwidths Of Associated Part Connections Being Registered After Each Hop**

Albuquerque et al. also do not disclose or suggest any bandwidths of associated part connections being registered after each hop. The only registrations of bandwidth disclosed by Albuquerque et al. is the maintenance of a registration table via flow registration units FRs that operate from a plurality of terminals. (¶¶ 33, 37, Table 2). The bandwidth manager BM may also manage such a reservation table. *Id.* at ¶ 42. None of these bandwidth registrations are registrations of bandwidth of associated part connections being registered. To the contrary, this is only a reservation of bandwidth required by flows from a utilization of a full connection, such as a link. *Id.* at ¶ 42.

**The Cited Art Does Not Teach Or Suggest Any Assembly Of Data Relating To Bandwidths Available For Each Terminal**

Moreover, Albuquerque et al. does not disclose or suggest any receiving of assembled data relating to bandwidth available for each terminal. As admitted in the Office Action, Albuquerque et al. only discloses an access point that "calculates if there is enough bandwidth available in the network." (Office Action, at 6). There is no receiving of any assembled data relating to bandwidth available for each terminal as part of a bandwidth test disclosed or otherwise suggested in the cited prior art.

**Claims 48-52 Are Allowable**

Claim 48 requires a computer to include a network resource test device connected to at least one of the network resource allocation device, the performance characteristic providing device, and the network resource distribution memory. The network resource test device is

configured to oversee a bandwidth test, the bandwidth test comprising sending a bandwidth request to each terminal, registering a bandwidth of an associated part connection after each hop in a communication path between each terminal and the computer, and receiving assembled data relating to bandwidth available for each terminal via the associated part connections in each communication path.

The cited art does not teach or suggest a network resource test device as required by claims 48-52. As discussed above with reference to claim 24, none of the cited art teach or suggest any running of any bandwidth test. Nor does the cited art teach or suggest a network resource test device configured to oversee such a test or a bandwidth test that includes registering a bandwidth of an associated part connection after each hop in a communication path between each terminal and the computer, and receiving assembled data relating to bandwidth available for each terminal via the associated part connections in each communication path.

#### **Claim 54 Is Allowable**

Claim 54 defines a method for substantially real time transmission of a software component that includes the step of if the computed amount of available bandwidth resources is equal to or greater than an amount of bandwidth necessary to transmit the software component to the requesting terminal, reducing the at least one lower priority process such that the at least one lower priority process is still able to utilize some bandwidth and transmitting the software component to the requesting terminal.

None of the cited art teaches a reduction of a lower priority process such that that one or more lower priority processes are still operational. Indeed, the cited art teaches away from such a limitation. For example, Albuquerque et al. teach that any lower priority process be eliminated or rejected in the event a higher priority process requires all the bandwidth being used by that or reserved for that process to be released. (¶ 46, Figure 5).

In the Office Action, the Examiner contends that paragraph 44 of the Albuquerque et al. reference teaches a reduction of the at least one lower priority process such that the at least one lower priority process is still able to utilize some bandwidth. To the contrary, this paragraph states that "bandwidths reserved may be temporarily halted or rejected and loose their reserved bandwidths." This paragraph does not teach or suggest a reduction of bandwidth for a connection that permits a terminal or process to still be able to utilize some bandwidth that was previously assigned to it as required by claim 54.

The cited art alone or in any combination fails to teach or suggest all the limitations of claim 54.